

Details of Risk		Inherent Risk				Key Controls	Probability	Impact	Residual Score	Actions			
Risk No.	Risk Description	Customer Outcome *	Probability	Impact	Score					Owner	Actions	Action Owner	Target Date

CUSTOMER OUTCOMES

A6	Insufficient number and range of school places	C	5	5	25	ADLSE	1. Demography analysis and projections 2. Admissions Strategy 3. Capital programme/strategy 4. Process to maximise developer contributions	4	5	20	1. Increase in provision through: (a) Extensions of existing schools (b) Addition of temporary accommodation (c) Recommissioning of decommissioned schools (d) Utilisation of commercial premises	DCCL	Sep-13 milestone date		
A7	Failure to ensure and maintain safe and secure environments for children		5	5	25	DCCE	1. Full case allocation for all child protection , looked after children, fostering and adoption assessments. 2. Full allocation of all statutory social work interventions against national minimum standards	4	5	20	1. Full service redesign of Children's Social Care Services 2. Implementation of Improvement Plans following Ofsted Inspection 3. Updated Sufficiency Strategy 4. Updated Child Protection Strategy 5. Development of clear threshold applications through the development of the MASH (Mult iAgency Safeguarding Hub) Model	DCCE	Aug-13		

PROCESSES AND SYSTEMS

C5	Failure to comply with Data Protection Act and Freedom of Information Act requirements (incl meeting data requests)	ALL	5	4	20	AD-SC&BIPI	1. Corporate FOI and Data Protection Team 2. Data Protection and FOI procedures 3. Data Protection and FOI Training incl E Learning and poster campaign 4. Data protection registration requirement 5. Data security measures incl Acceptable Use Policy 6. Codes of conduct for councillors and staff 7. Constitution defines DP requirements 8. Data quality strategy 9. Network of DP/FOI champions 10. Government Connect security utilised 11. Information Management Policy and Statement of Required Practice 12. Process for investigating and reporting data breaches 13. Publications Scheme	4	4	16	2. Move to SharePoint across the organisation to reduce data duplication	AD-BIPI	Mar-13	Sep-13 Apr-14	
											6. Implement policy compliance software	IGM	Sep-12	Dec-12 Mar-13 Jul-13	

SCORING MATRIX

VERY HIGH (V)	5	10	15	20	25
HIGH (H)	4	8	12	16	20
MEDIUM (M)	3	6	9	12	15
LOW (L)	2	4	6	8	10
NEGLIGIBLE	1	2	3	4	5
IMPACT / LIKELIHOOD	VERY RARE	UNLIKELY	POSSIBLE	LIKELY	VERY LIKELY

*** CUSTOMER OUTCOMES**

- A A cleaner, greener and more prosperous County**
- B Active, safe and sustainable communities**
- C Ambitious Young people**
- D Service users and carers feel in charge of their lives**