

| Details of Risk | | Inherent Risk | | | | Key Controls | Probability | Impact | Residual Score | Actions | | | |
|-----------------|------------------|--------------------|-------------|--------|-------|--------------|-------------|--------|----------------|---------|---------|--------------|-------------|
| Risk No. | Risk Description | Customer Outcome * | Probability | Impact | Score | | | | | Owner | Actions | Action Owner | Target Date |

CUSTOMER OUTCOMES

| | | | | | | | | | | | | | | | |
|----|--|---|---|---|----|-------|---|---|---|----|--|------|-----------------------|--|--|
| A6 | Insufficient number and range of school places | C | 5 | 5 | 25 | ADLSE | 1. Demography analysis and projections 2. Admissions Strategy 3. Capital programme/strategy 4. Process to maximise developer contributions | 4 | 5 | 20 | 1. Increase in provision through: (a) Extensions of existing schools (b) Addition of temporary accommodation (c) Recommissioning of decommissioned schools (d) Utilisation of commercial premises | DCCL | Sep-13 milestone date | | |
| A7 | Failure to ensure and maintain safe and secure environments for children | | 5 | 5 | 25 | DCCE | 1. Full case allocation for all child protection , looked after children, fostering and adoption assessments. 2. Full allocation of all statutory social work interventions against national minimum standards | 4 | 5 | 20 | 1. Full service redesign of Children's Social Care Services 2. Implementation of Improvement Plans following Ofsted Inspection 3. Updated Sufficiency Strategy 4. Updated Child Protection Strategy 5. Development of clear threshold applications through the development of the MASH (Mult iAgency Safeguarding Hub) Model | DCCE | Aug-13 | | |

PROCESSES AND SYSTEMS

| | | | | | | | | | | | | | | | | |
|----|---|-----|---|---|----|------------|---|---|---|----|--|---------|--------|-------------------------|--|--|
| C5 | Failure to comply with Data Protection Act and Freedom of Information Act requirements (incl meeting data requests) | ALL | 5 | 4 | 20 | AD-SC&BIPI | 1. Corporate FOI and Data Protection Team | 4 | 4 | 16 | 2. Move to SharePoint across the organisation to reduce data duplication | AD-BIPI | Mar-13 | Sep-13 Apr-14 | | |
| | | | | | | | 2. Data Protection and FOI procedures | | | | 6. Implement policy compliance software | IGM | Sep-12 | Dec-12 Mar-13 Jul-13 | | |
| | | | | | | | 3. Data Protection and FOI Training incl E Learning and poster campaign | | | | | | | | | |
| | | | | | | | 4. Data protection registration requirement | | | | | | | | | |
| | | | | | | | 5. Data security measures incl Acceptable Use Policy | | | | | | | | | |
| | | | | | | | 6. Codes of conduct for councillors and staff | | | | | | | | | |
| | | | | | | | 7. Constitution defines DP requirements | | | | | | | | | |
| | | | | | | | 8. Data quality strategy | | | | | | | | | |
| | | | | | | | 9. Network of DP/FOI champions | | | | | | | | | |
| | | | | | | | 10. Government Connect security utilised | | | | | | | | | |
| | | | | | | | 11. Information Management Policy and Statement of Required Practice | | | | | | | | | |
| | | | | | | | 12. Process for investigating and reporting data breaches | | | | | | | | | |
| | | | | | | | 13. Publications Scheme | | | | | | | | | |

SCORING MATRIX

| | | | | | |
|----------------------------|------------------|-----------------|-----------------|---------------|--------------------|
| VERY HIGH (V) | 5 | 10 | 15 | 20 | 25 |
| HIGH (H) | 4 | 8 | 12 | 16 | 20 |
| MEDIUM (M) | 3 | 6 | 9 | 12 | 15 |
| LOW (L) | 2 | 4 | 6 | 8 | 10 |
| NEGLIGIBLE | 1 | 2 | 3 | 4 | 5 |
| IMPACT / LIKELIHOOD | VERY RARE | UNLIKELY | POSSIBLE | LIKELY | VERY LIKELY |

*** CUSTOMER OUTCOMES**

- A A cleaner, greener and more prosperous County**
- B Active, safe and sustainable communities**
- C Ambitious Young people**
- D Service users and carers feel in charge of their lives**